

**Form No. MGT-7**  
**ANNUAL RETURN**

*(Pursuant to Section 92(1) of the Companies Act, 2013)*  
*and*  
*Rule 11(1) of the Companies (Management & Administration) Rules, 2014*

CIN: U85110KA1997PLC021862

**ANNUAL RETURN**

OF



**NABFINS LIMITED**  
(formerly NABARD Financial Services Limited)

AS AT THE FINANCIAL YEAR ENDED ON

MARCH 31, 2020

# FORM OF ANNUAL RETURN OF A COMPANY HAVING A SHARE CAPITAL

## Form MGT-7

[Pursuant to Section 92(1) of the Companies Act,  
2013]

## ANNUAL RETURN

### I. REGISTRATION AND OTHER DETAILS

i	CIN	U85110KA1997PLC021862
	Foreign Company Registration Number / GLN	NA
	Registration Date (DDMMYY)	25.02.97

ii	Category of the Company	PUBLIC COMPANY
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iii	Sub-Category of the Company	LIMITED BY SHARES
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iv	Whether shares listed on recognized stock exchange (s)	NO
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v	AGM Details	
	Is AGM held?	YES
	Date of AGM (DDMMYY)	28.09.20

vi	<b>NAME AND REGISTERED OFFICE ADDRESS OF THE COMPANY</b>	
	Company Name	NABFINS LIMITED (formerly NABARD FINANCIAL SERVICES LIMITED)
	Address	#3072, 14th Cross, K R Road, Banashankari 2nd Stage
	Town / City	Bengaluru
	State	KARNATAKA
	Pin Code	560 070
	Country Name	INDIA
	Telephone (with STD Area Code number)	080 – 26970500
	Fax Number (Telefax)	080 – 26970504
	Email Address	<a href="mailto:cs@nabfins.org">cs@nabfins.org</a>
	Website	<a href="http://www.nabfins.org">www.nabfins.org</a>
	Name of the Police Station having jurisdiction where the registered office is situated	Banashankari Police Station 9th Main Road, Thyagaraja Nagar, Banashankari 2nd Stage, Bengaluru – 560 070
	Address for correspondence, if different from address of registered office	NA
vii	Name and Address of Registrar and Transfer Agents (RTA)	Link Intime India Pvt. Ltd. C-101, 247 Park, LBS MARG, Vikhroli (WEST) - 400083

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing to 10% or more of the total turnover of the Company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
01	Other Financial Activities viz., Microfinance and micro insurance activities which include lending to SHGs, JLGs, Individuals, MFIs and Producer collectives	K8	100%

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and address of the company	CIN/GLN	Holding/ subsidiary / associate	Remarks
1	National Bank for Agriculture and Rural Development (NABARD) C-24, G Block, Bandra Kurla Complex, Bandra (East), MUMBAI - 400 051	NA	Holding Entity	An apex development financial institution, formed under an Act of Parliament, Govt. of India

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### A. SHARE CAPITAL:

#### (a) Authorized Capital:

Class of shares	No. of shares	Nominal value per share (₹)	Total Nominal Value of shares (₹)
<b>Equity Share</b>			
At the beginning of the year	20,00,00,000	10	200,00,00,000
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
At the end of the year	20,00,00,000	10	200,00,00,000
<b>Preference Share</b>	NIL		
<b>Unclassified Shares</b>	NIL		
<b>Total Authorized Capital at the beginning of the year</b>	<b>20,00,00,000</b>	<b>10</b>	<b>200,00,00,000</b>
<b>Total Authorized Capital at the end of the year</b>	<b>20,00,00,000</b>	<b>10</b>	<b>200,00,00,000</b>

#### (b) Issued Capital:

Class of shares	No. of shares	Nominal value per share (₹)	Total Nominal Value of shares (₹)
<b>Equity Share</b>			
At the beginning of the year	16,16,56,307	10	161,65,63,070
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
At the end of the year	16,16,56,307	10	161,65,63,070
<b>Preference Share</b>	NIL		

<b>Unclassified Shares</b>	NIL		
<b>Total Issued Capital at the beginning of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
<b>Total Issued Capital at the end of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>

**(c) Subscribed Capital:**

<b>Class of shares</b>	<b>No. of shares</b>	<b>Nominal value per share (₹)</b>	<b>Total Nominal Value of shares (₹)</b>
<b>Equity Share</b>			
At the beginning of the year	16,16,56,307	10	161,65,63,070
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
At the end of the year	16,16,56,307	10	161,65,63,070
<b>Preference Share</b>			
NIL			
<b>Unclassified Shares</b>			
NIL			
<b>Total Subscribed Capital at the beginning of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
<b>Total Subscribed Capital at the end of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>

**(d) (i) Paid up Capital:**

<b>Class of shares</b>	<b>No. of shares</b>	<b>Nominal value per share (₹)</b>	<b>Total Nominal Value of shares (₹)</b>
<b>Equity Share</b>			
At the beginning of the year	16,16,56,307	10	161,65,63,070
Changes during the year (Increase)			
(a) Public Issue	-	-	-
(b) Private Placement / Preferential Allotment	-	-	-
(c) ESOS	-	-	-
(d) Sweat Equity	-	-	-
(e) Conversion – Pref. Shares / Debentures	-	-	-
(f) Conversion into equity	-	-	-
(g) GDR / ADR	-	-	-
(h) Others, please specify	-	-	-
Changes during the year (Decrease)			
(a) Buy-back	-	-	-
(b) Forfeiture	-	-	-
(c) Re-issue of forfeited shares	-	-	-
(d) Reduction	-	-	-
(e) Others, please specify	-	-	-
At the end of the year	16,16,56,307	10	161,65,63,070
<b>Total Paid-up Share Capital at the beginning of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>
Changes during the year (Increase)	-	-	-
Changes during the year (Decrease)	-	-	-
<b>Total Paid-up Share Capital at the end of the year</b>	<b>16,16,56,307</b>	<b>10</b>	<b>161,65,63,070</b>

(d) (ii) Details of stock split / consolidation during the year (for each class of shares) : NIL

**B. DEBENTURES:**

NIL

**C. OTHER SECURITIES:**

NIL

**D. SECURITIES PREMIUM ACCOUNT:**

NIL

**V. TURNOVER AND NETWORTH OF THE COMPANY (As defined in the Act)**

(i) Turnover at the end of the financial year : ₹270,25,77,094.00

(ii) Net Worth of the Company : ₹297,25,54,986.00

**VI. SHAREHOLDING PATTERN OF THE COMPANY**

**i) Category wise shareholding:**

Category of shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>A. Promoters</b>									
<b>Indian</b>									
a) Individual / HUF									
b) Central Govt.									
c) State Govt(s)									
d) Bodies Corp.									
e) Banks / FI	NIL	10,20,06,300	10,20,06,300	63.10	NIL	10,20,06,300	10,20,06,300	63.10	NIL
f) Any Other...									
<b>Sub-total(A)(1) -</b>	<b>NIL</b>	<b>10,20,06,300</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>	<b>10,20,06,300</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>
<b>Foreign</b>									
a) NRIs – Individuals									
b) Other – Individuals									
c) Bodies Corp									
d) Banks / FI									
e) Any other...									
<b>Sub-total(A)(2) -</b>	<----- NIL ----->								
<b>Total shareholding of Promoter (A) = (A)(1) + (A)(2)</b>	<b>NIL</b>	<b>10,20,06,300</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>	<b>10,20,06,300</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>
<b>B. Public shareholding</b>									
<b>Institutions</b>									
a. Mutual Funds									
b. Banks / FI	NIL	2,98,50,000	2,98,50,000	18.47	2,98,50,000	NIL	2,98,50,000	18.47	NIL
c. Central Govt.	NIL								
d. State Govt.(s)	NIL	2,98,00,000	2,98,00,000	18.43	NIL	2,98,00,000	2,98,00,000	18.43	NIL
e. Venture Capital Funds									
f. Insurance Companies									
g. FIs									
h. Foreign Venture Capital Funds									

i. Others (Specify)										
<b>Sub-total(B)(1) -</b>	<b>NIL</b>	<b>5,96,50,000</b>	<b>5,96,50,000</b>	<b>36.90</b>	<b>2,98,50,000</b>	<b>2,98,00,000</b>	<b>5,96,50,000</b>	<b>36.90</b>	<b>NIL</b>	
<b>Non-Institutions</b>										
(a) Bodies Corp.										
i) Indian										
ii) Overseas										
(b) Individuals										
i) Individual shareholders holding nominal share capital upto ₹1 lakh	NIL	7	7	0.00	5	2	7	0.00	<b>NIL</b>	
ii) Individual shareholders holding nominal share capital in excess of ₹1 lakh										
(c) Others (Specify)										
<b>Sub-total(B)(2) -</b>	<b>NIL</b>	<b>7</b>	<b>7</b>	<b>0.00</b>	<b>5</b>	<b>2</b>	<b>7</b>	<b>0.00</b>	<b>NIL</b>	
<b>Total Public Shareholding (B) = (B)(1) + (B)(2)</b>	<b>NIL</b>	<b>5,96,50,007</b>	<b>5,96,50,007</b>	<b>36.90</b>	<b>2,98,50,005</b>	<b>2,98,00,002</b>	<b>5,96,50,007</b>	<b>36.90</b>	<b>NIL</b>	
C. Shares held by Custodian for GDRs & ADRs	<----- NIL ----->									
<b>Grand Total (A+B+C)</b>	<b>NIL</b>	<b>16,16,56,307</b>	<b>16,16,56,307</b>	<b>100</b>	<b>13,18,56,305</b>	<b>2,98,00,002</b>	<b>16,16,56,307</b>	<b>100</b>		

### ii) Shareholding of Promoters

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
01	National Bank for Agriculture & Rural Development (NABARD)	10,20,06,300	63.10	NIL	10,20,06,300	63.10	NIL	NIL
	<b>Total</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>	<b>10,20,06,300</b>	<b>63.10</b>	<b>NIL</b>	<b>NIL</b>

### iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	10,20,06,300	63.10	10,20,06,300	63.10
2	Date wise Increase / Decrease in Promoters Share-holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	<----- NIL ----->			
3	At the end of the year	<b>10,20,06,300</b>	<b>63.10</b>	<b>10,20,06,300</b>	<b>63.10</b>

**iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs / ADRs):**

Sl. No.	For each of the Top 10 Shareholders	Shareholding at the beginning of the year			Cumulative Shareholding during the year		
		Name of the Shareholder & No. of Shares		% of total shares of the Company	No. of Shares	% of total shares of the Company	
1	At the beginning of the year	Gov. of Karnataka	2,98,00,000	18.43	5,96,50,000	36.90	
		Canara Bank	1,60,00,000	9.90			
		Union Bank of India	85,00,000	5.26			
		Bank of Baroda	50,00,000	3.09			
		Federal Bank Ltd.	2,50,000	0.15			
		Dhanlaxmi Bank Ltd.	1,00,000	0.06			
2	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	<----- NIL ----->					
3	At the end of the year	Gov. of Karnataka	2,98,00,000	18.43	5,96,50,000	36.90	
		Canara Bank	1,60,00,000	9.90			
		Union Bank of India	85,00,000	5.26			
		Bank of Baroda	50,00,000	3.09			
		Federal Bank Ltd	2,50,000	0.15			
		Dhanalakshmi Bank Ltd	1,00,000	0.06			

**v) Shareholding of Directors and Key Managerial Personnel:**

Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year				Cumulative Shareholding during the year	
		Name of the Director & KMP & their shareholding			% to total shares	No. of Shares	% to total shares
1	At the beginning of the year	Name	No. of Shares held	Designation	% to total shares of the Company	4	Negligible
		M I Ganagi	1	Director	Negligible		
		Subrata Gupta	1	MD-KMP	Negligible		
		K S S Prasad	1	CFO-KMP	Negligible		
		K Palanivelu	1	Director	Negligible		
2	Date wise Increase /Decrease in Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.)	<----- NIL ----->				-	-

3	At the end of the year	Name	No. of Shares held	Designation	% to total shares of the Company	2	Negligible
		G R Chintala	1	MD-KMP	Negligible		
		K S S Prasad	1	CFO-KMP	Negligible		

**Note:**

1. Sh. G R Chintala held one equity share both at the beginning and as at the end of the year. Since he did not hold the position of either Director/KMP as at the beginning of the year, his shareholding is not disclosed 'as at the beginning of the year'. However, subsequent to his appointment as MD&KMP with effect from November 01, 2019, his shareholding is reported only under 'as at the end of the year';
2. One equity share each held by S/Sh. M I Ganagi, Subrata Gupta & K Palanivelu is reported only under 'as at the beginning of the year' as they held the position of Director and/or KMP; however, the same is not reported under 'as at the end of the year' since they were ceased to be Director(s)/KMP(s) during the year.

**VII. INDEBTEDNESS:**

**Indebtedness of the Company including interest outstanding / accrued but not due for payment**

(amount in ₹ lakh)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year :-</b>				
i) Principal Amount	254.67	117,119.61	-	<b>1,17,374.28</b>
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	1,444.67	-	<b>1,444.67</b>
<b>Total (i+ii+iii)</b>	<b>254.67</b>	<b>1,18,564.28</b>	-	<b>1,18,818.95</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	-	53,708.00	-	53,708.00
Reduction	254.67	39,398.00	-	39,652.67
<b>Net Change</b>	<b>(254.67)</b>	<b>14,310.00</b>	-	<b>14,055.33</b>
<b>Indebtedness at the end of the financial year :-</b>				
i) Principal Amount	-	1,31,429.61	-	<b>1,31,429.61</b>
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	1,747.49	-	<b>1,747.49</b>
<b>Total (i+ii+iii)</b>	-	<b>1,33,177.10</b>	-	<b>133,177.10</b>

**VIII. DETAILS OF MEMBERS, DEBENTURE HLODERS AND OTHER SECURITY HOLDERS**

**A. TYPE OF SHARES: EQUITY SHARES** (Company do not have either debentures or any other securities)

Sl. No.	Ledger Folio	Name of the Shareholder	Father / Husbands Name	Residential Address with State & Country	Date of becoming a member (DDMMYY)	No. of Shares	Amount / Share (in ₹)
01	02	H. B. Jayaprasad	H. B. Byragowda	Balekola Estate, Kabbinahalli Chikmagalur – 577 101 Karnataka, INDIA	02.02.98	1	10/-
02	08	Canara Bank	N.A.	Treasury & International Department, #112,	29.08.97	1,60,00,000	10/-



				J. C. Road, Bengaluru – 560 002 Karnataka, INDIA			
03	09	National Bank for Agriculture & Rural Development	N.A.	DSSI, NABARD Head Office, C-24, 'G' Block, Bandra – Kurla Complex, Bandra (East), Mumbai – 400 051 Maharashtra, INDIA	29.08.97	10,20,06,300	10/-
04	10	Federal Bank Ltd	N.A.	Capital Market Division, Treasury Department, Federal Towers, Aluva, Kerala – 683 101 INDIA	25.09.97	2,50,000	10/-
05	11	Dhanlaxmi Bank Ltd.	N.A.	Corporate Office, The Round, PB No.09, Trichur, Kerala – 680 001 INDIA	02.02.98	1,00,000	10/-
06	45	Governor of Karnataka	N.A.	Finance Department, Govt. of Karnataka, Vidhansoudha, Bengaluru – 560 001 Karnataka, INDIA	04.09.99	2,98,00,000	10/-
07	28	Union Bank of India	N.A.	Treasury Branch, Central Office, Union Bank Bhavan, 5 <sup>th</sup> floor, 239, Vidhan Bhavan Marg, Nariman Point, Mumbai – 400 021 Maharashtra, INDIA	21.06.11	85,00,000	10/-
08	34	Bank of Baroda	N.A.	Specialized Integrated Treasury Branch, 5 <sup>th</sup> Floor, Baroda Sun Tower, C-34, G-Block, B.K.C. Bandra East, Mumbai – 400 051 Maharashtra, INDIA	24.09.13	50,00,000	10/-
09	35	G. R. Chintala	Butchaiah Choudary	NABARD Head Office C-24, G Block, BKC Bandra (E) Mumbai – 400 051 Maharashtra, INDIA	24.09.13	1	10/-
10	40	Vinod Chandrasekharan	T V Chandrasekharan	MF-30-11, NABARD Officers' Qtrs., Nandini Layout, Bengaluru – 560 096 Karnataka, INDIA	12.06.15	1	10/-
11	44	M I Ganagi	Iswarappa Ganagi	NABARD Head Office C-24, G Block, BKC Bandra (E) Mumbai – 400 051 Maharashtra, INDIA	18.08.17	1	10/-

12	46	Subrata Gupta	Binoy Kumar Gupta	1504, 15 <sup>th</sup> Floor, Block-B, Nagarjuna Premier Apt., Opp. ICICI Bank, Outer Ring Road, J P Nagar 6 <sup>th</sup> Phase, Bengaluru – 560 078 Karnataka, INDIA	02.03.18	1	10/-
13	47	Kadimi Setty Satya Siva Prasad (K S S Prasad)	Veera Raghav Gupta Kadimisetty	MF-28-3, NABARD Officers' Quarters Nandini Layout, Bengaluru - 560 096	08.06.2018	1	10/-
14	48	K Palanivel	G Kandasamy	A 4 -613, Godavari Block National Games Village Koramangala, BENGALURU - 560 047	24.08.2018	1	10/-

**IX. DETAILS OF SHARES / DEBENTURES TRANSFERS** since the close of last financial year on March 31, 2019 (or in the case of the first return, at any time since the incorporation of the company) and upto the date of close of financial year on **March 31, 2020**.

NIL

**X. PROMOTERS / DIRECTORS / KEY MANAGERIAL PERSONNEL AND CHANGES THEREIN**

**[Designation:** - P- Promoter, C – Chairman, CMD- Chairman cum Managing Director, CW-Chairman cum Whole time Director, W –Whole Time Director, CS –Company Secretary, M –Manager, D –Director, MD – Managing Director, CEO-Chief Executive Officer, CFO-Chief Financial Officer]

**[Category:-** I- Independent, N- Nominee, A- Alternate, ED-Executive Director, NED- Non- Executive Director ]

**A. DETAILS OF PROMOTER**

<b>Status</b>	An apex development financial institution, formed under an Act of Parliament, Govt. of India
<b>Name</b>	National Bank for Agriculture and Rural Development (NABARD)
<b>Country of Incorporation</b>	India
<b>Address of Regd. Office</b>	C-24, 'G' Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051, INDIA
<b>Individuals</b>	NA
<b>Full Name</b>	NA
<b>Nationality</b>	NA
<b>Address</b>	NA

## B. DETAILS OF DIRECTORS / KEY MANAGERIAL PERSONNEL

### i) Composition of the Board of Directors:

Category	At the beginning of the year		At the end of the year	
	No. of Directors	% of total no. of directors	No. of Directors	% of total no. of directors
<b>A. Promoter</b>				
Executive Directors (MD)	1	8.33	1	8.33
Non- Executive Directors	2	16.67	3	25.00
<b>Sub-total (A)</b>	<b>3</b>	<b>25.00</b>	<b>4</b>	<b>33.33</b>
<b>B. Non-Promoter</b>				
Executive Directors	-	-	-	-
Non-Executive & Independent Directors	6	50.00	5	41.67
Non-Executive & Non-Independent Directors	-	-	-	-
<b>Sub-total (B)</b>	<b>6</b>	<b>50.00</b>	<b>5</b>	<b>41.67</b>
<b>C. Nominee Directors</b>				
Representing lending institutions / banks	-	-	-	-
Representing investing institutions	2	16.67	2	16.67
Representing Gov	1	8.33	1	8.33
Representing small shareholders	-	-	-	-
Others, if any	-	-	-	-
<b>Sub-total (C)</b>	<b>3</b>	<b>25.00</b>	<b>3</b>	<b>25.00</b>
<b>Total (A+B+C)</b>	<b>12</b>	<b>100.00</b>	<b>12</b>	<b>100.00</b>

### ii) Details of Individual Directors:

As per details vide Annexure-1

### iii) Key Managerial Personnel:

(a) Managing Director / CEO / Manager / Whole time Director; (b) Company Secretary; & (c) Chief Financial Officer

Particulars	Managing Director		Company Secretary	Chief Financial Officer
<b>DIN/PAN / UIN/ PASSPORT NO</b>	<b>DIN : 06833844</b>	<b>DIN : 03622371</b>	<b>PAN : APFPB4038M</b>	<b>PAN : AARPK7091E</b>
<b>Full Name</b>	<b>Subrata Gupta</b>	<b>G R Chintala</b>	<b>Savitri Hegde</b>	<b>Kadimisetty Satya Siva Prasad</b>
<b>Father's/ Mother's / Spouse's Name</b>	Binoy Kumar Gupta	Butchhiah Choudary	Manjunath Hegde	Veera Raghav Gupta Kadimisetty
<b>Nationality</b>	Indian	Indian	Indian	Indian
<b>Date of Birth</b>	10.10.1959	15.07.1962	15.07.1980	16.03.1961
<b>Designation</b>	Managing Director	Managing Director	Company Secretary	Chief Financial Officer
<b>Date of Appointment</b>	w.e.f. 1.12.2017	w.e.f. 1.11.2019	01.09.2016	08.06.2018
<b>Date of Ceasing</b>	w.e.f. 01.11.2019	w.e.f. 27.05.2020	-	-
<b>Residential Address</b>	1504, 15 <sup>th</sup> Floor, Nagarjuna Premier Apartment, J P Nagar 6 <sup>th</sup> Phase, Outer Ring Road	NABARD Officers' Quarters, Raheja Park, Magadi Road	#67/2B, 3 <sup>rd</sup> Main, Nagendra Block, Banashankari 3 <sup>rd</sup> Stage	MF-28-3, NABARD Officers' Quarters Nandini Layout,
<b>Town / City</b>	Bengaluru	Bengaluru	Bengaluru	Bengaluru
<b>District</b>	Bengaluru	Bengaluru	Bengaluru	Bengaluru
<b>State</b>	Karnataka	Karnataka	Karnataka	Karnataka
<b>Pin Code</b>	560 078	560 079	560 050	560 096

**XI. MEETINGS OF MEMBERS / CLASS OF MEMBERS / BOARD / COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS / CLASS OF MEMBERS / REQUISITIONED / NCLT / COURT CONVENED MEETING(S):**

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number	% of total shareholding
Annual General Meeting	26.09.2019	14	13	99.99
Extra-Ordinary General Meeting [EOGM]	30.04.2019	14	12	99.84
Class Meeting	-	-	-	-
NCLT/ Court	-	-	-	-
Requisitioned	-	-	-	-

**B. BOARD MEETINGS:**

Sl. No.	Date of meeting	Total No. of Directors on the Date of Meeting	No. of Directors attended	% of Attendance
01	30.04.2019	12	9	75.00
02	20.07.2019	11	9	81.82
03	26.09.2019	9	7	77.78
04	30.10.2019	11	7	63.64
05	24.01.2020	12	9	75.00
06	20.03.2020	12	4	33.33

**C. COMMITTEE MEETINGS:**

NO. OF COMMITTEES		7		
Name of the Committee		AUDIT COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01	29.04.2019	5	4	80
02	19.07.2019	5	5	100
03	25.09.2019	4	4	100
04	30.10.2019	5	4	80
05	23.01.2020	5	4	80
06	20.03.2020	5	2	40

Name of the Committee		CSR COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01	19.07.2019	3	3	100
02	24.01.2020	3	3	100

Name of the Committee		NOMINATION & REMUNERATION COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01	30.04.2019	3	3	100
02	20.07.2019	3	3	100
03	26.09.2019	3	3	100
04	30.10.2019	3	3	100

Name of the Committee		RISK MANAGEMENT COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01	29.04.2019	5	3	60
02	19.07.2019	5	4	80
03	25.09.2019	3	3	100
04	23.01.2020	5	4	80

Name of the Committee		ASSET LIABILITY MANAGEMENT COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01		5	3	60

Name of the Committee		LOAN COMMITTEE		
Sl. No.	Date of meeting	Total no. of Directors on the date of Meeting	No. of Directors attended	% of Attendance
01	18.09.2019	3	3	100
02	27.11.2019	3	3	100
03	12.02.2020	3	3	100
04	03.03.2020	3	3	100

#### D. ATTENDANCE OF DIRECTORS:

Sl. No.	Name of the Director	Meetings of the Board			Committee Meetings (Taking all the Committee Meetings together, in which a Director is a member)			Whether attended AGM held on 28.09.2020 (Y/N)
		No. of Meetings Held	No. of Meetings attended	% of attendance	No of Meetings Held	No. of Meetings attended	% of attendance	
01	Jugal Kishore Mohapatra	6	5	83	6	6	100	Y
02	Pammi Venkata Subrahmanya Suryakumar	6	5	83	16	14	88	NA
03	Luthfulla Khan Atheeq	6	2	33	-	-	-	N
04	Subhash Chander Kalia	6	5	83	10	9	90	Y
05	Charan Singh	6	5	83	8	7	88	Y
06	Manoj Kumar Sharma	6	3	50	4	1	25	Y
07	S K Giri	6	5	83	1	1	100	Y
08	Rajashree Kakati Baruah	3	0	-	-	-	-	N
09	Devegowda Vijaya Kumar	3	3	100	6	6	100	Y
10	Sreenivasa Rao Badatala	3	1	33	1	1	100	Y
11	Arun Kumar Shukla	2	0	-	-	-	-	Y
12	Govinda Rajulu Chintala	2	2	100	6	6	100	NA

13	Mallikarjun Iswarappa Ganagi	1	1	100	3	3	100	Y as Member
14	Shiv Narain Kaushik	2	0	-	2	0	-	NA
15	Kandasamy Palanivel	2	1	50	4	2	50	NA
16	Meera Saksena	3	3	100	8	8	100	NA
17	Subrata Gupta	4	4	100	5	5	100	Y as Member

## XII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. REMUNERATION OF MANAGING DIRECTOR, WHOLE-TIME DIRECTORS AND / OR MANAGER:

Sl. No	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount
		G R Chintala *	Subrata Gupta *	
1	Gross Salary	22,41,829	39,67,557	62,09,386
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	-	-	-
	(b) Value of perquisites u/d 17(2) of Income Tax Act, 1961	-	-	-
	(c) Profits in lieu of Salary under Section 17(3) of Income tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- As % of profit	-	-	-
	- Others, specify...	-	-	-
5	Others, Please specify			
	- Medical Reimbursement	2,58,461	-	2,58,461
	- Rental Expenses	-	3,11,782	3,11,782
	<b>Total (A)</b>	<b>25,00,290</b>	<b>42,79,339</b>	<b>67,79,629</b>
	<i>Ceiling as per the Act (5% of the net profit)</i>			

\* Shri Subrata Gupta held the position of Managing Director upto close of business on October 31, 2019 and Shri G R Chintala took over the charge as Managing Director and Key Managerial Personnel of the Company with effect from November 01, 2019

REMUNERATION TO OTHER DIRECTORS:

S. No.	Particulars of Remuneration	Name of Directors						Total Amount
1	<b>INDEPENDENT DIRECTORS</b>							
		J K Mohapatra	Meera Saksena	Subhash Kalla	Dr. Charan Singh	Manoj Kumar Sharma	S K Giri	
	Fee for attending board / committee meetings	3,15,000	2,20,000	3,00,000	2,75,000	95,000	2,05,000	14,10,000
	Commission	-	-	-	-	-	-	-
	Others, please specify – Reimbursement of travelling & other incidental expenses	-	-	-	11,316	-	7,253	18,569
	<b>Total (1)</b>	<b>3,15,000</b>	<b>2,20,000</b>	<b>3,00,000</b>	<b>2,86,316</b>	<b>95,000</b>	<b>2,12,253</b>	<b>14,28,569</b>
2	<b>OTHER NON-EXECUTIVE DIRECTORS</b>							
	Fee for attending Board / Committee meetings	-	-	-	-	-	-	-
	Commission	-	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-	-
	<b>Total (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total (B) = (1+2)</b>	<b>3,15,000</b>	<b>2,20,000</b>	<b>3,00,000</b>	<b>2,86,316</b>	<b>95,000</b>	<b>2,12,253</b>	<b>14,28,569</b>

B. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		
		Savitri Hegde (Company Secretary)	K S S Prasad (Chief Financial Officer)	TOTAL
1.	Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961 (b) Value of perquisites u/d 17(2) of Income Tax Act, 1961 (c) Profits in lieu of Salary under Section 17(3) of Income tax Act, 1961	10,00,460	37,60,231	47,60,691
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission - As % of profit	-	-	-
5.	Others, Please specify - Reimbursement	-	-	-
	<b>Total:</b>	<b>10,00,460</b>	<b>37,60,231</b>	<b>47,60,691</b>

### XIII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding Fees imposed	Authority [RD / NCLT / Court]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment			NIL		
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

### XIV. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

#### A. CERTIFICATION OF COMPLIANCES

Details of events / matters in respect of which the Company was liable to file returns or comply with requisite provisions of the Companies Act and Rules made thereunder

Sl. No.	Description of the Event / Matter	Date Of The Event	Section & Description	Due date for filing / compliance	Date of Filing SRN/ SR compliance	Concerned with Authority (ROC/ NCL /Court)	Reasons for delay, if any
1	Active Company Tagging Identities and Verification (ACTIVE) – Form INC-22A	NA	Rule 25(A) of Chapter II	26.04.2019	11.04.2019 (H50733542)	ROC	NA
2	107th Board Meeting dt. 30.04.2019 - Approval of Board's Report; - Approval of Financial Statement; - Disclosure of Notice of Interest by Directors; & - to Borrow Monies (Form MGT-14)	30.04.2019	Section 179(3) read with Section 117(3)	30.05.2019	27.05.2019 (H60826161)	ROC	NA
3	Form MSME for the half year ended March 31, 2019	NA	Order 2 & 3 dated 22.01.2019 u/s 405	30 days from date of deploying the form	28.05.2019 (H61040374)	ROC	NA
4	CR-05/BoD/2019-20 dt. 28.06.2019 a. Cessation of Sh. M I Ganagi, Director (Form – DIR-12)	31.05.2019	Sec. 170(2) read with Rule 18 of Chapter XI	29.06.2019	01.07.2019 (H75378190)	ROC	Repeated efforts in filing the form on 29 & 30.06.2019 were not successful;



							received error messages viz., 'Server Hang Up' & 'Connection Time Out'
5	EOGM dt. 30.04.2019 - <i>Change of Company's Name</i> (Form INC-24)	16.07.2019 (name approval from ROC)	Sec.133(2) read with Rule 29(2)	13.09.2019	18.07.2019 (H77843753) resubmitted on 2.08.2019	ROC	NA
6	EOGM dt. 30.04.2019 a. <i>Alteration of 'Name Clause' of M&amp;AOA</i> (Form MGT-14)	30.04.2019	Sec. 179(3) read with sec. 117(3)(g) & Rule 8(5) of Chapter XII	29.05.2019	Filed on 18.07.2019 & resubmitted on 24.07.2019 (H77835452)	ROC	Delay due to getting name availability from ROC and also in obtaining NOC from RBI for proposed name change
7	108th Board Meeting dt. 20.07.2019 - <i>Disclosure of Notice of Interest by Directors;</i> (Form MGT-14)	20.07.2019	Section 179(3) read with Section 117(3)	18.08.2019	14.08.2019 (H82699455)	ROC	NA
8	CR-06 & CR-07/BoD/2019-20 dt. 29.08.2019 <i>Cessation of Sh. K Palanivel &amp; S N Kaushik, Nominee Directors</i> (Form – DIR-12)	31.07.2019	Sec. 170(2) read with Rule 18 of Chapter XI	29.08.2019	14.08.2019 (H82703612)	ROC	NO
9	22nd Annual General Meeting dt. 18.09.2018 - <i>Appointment of Statutory Auditors for FY2019-20</i> (Form – ADT-01)	26.09.2019	Sec. 139(1) & Rule 4(2) of Chapter X	10.10.2019	03.10.2019	ROC	NA
10	22nd Annual General Meeting dt. 18.09.2018 - <i>Re-Appointment of Independent Director</i> (Form – MGT-14)	26.09.2019	Sec. 139(1) & Rule 4(2) of Chapter X	10.10.2019	03.10.2019	ROC	NA
11	109th Board Meeting dt. 26.09.2019 - <i>Financial Statement for Q1 of FY2019-20</i> (Form MGT-14)	26.09.2019	Section 117(3)(a) read with Section 170(2), 196 etc	25.10.2019	22.10.2019 (R07170558)	ROC	NA

12	109th Board Meeting dt. 26.09.2019 - <i>Appointment of 3 Directors</i> (Form DIR-12)	26.09.2019	Section 170(2) read with Rule 18 of Chapter 11	25.10.2019	22.10.2019 (R07176118)	ROC	NA
13	109th Board Meeting dt. 26.09.2019 - <i>Cessation of Ind. Directorship of 1 Director</i> (Form DIR-12)	01.10.2019	Section 170(2) read with Rule 18 of Chapter 11	30.10.2019	22.10.2019 (R07178833)	ROC	NA
14	22nd Annual General Meeting dt. 26.09.2019 - <i>Adopted Financial Statement for FY2018-19</i> (Form – AOC-4)	26.09.2019	Sec. 137(1) & Rule 122 of Chapter IX	25.10.2019 ( <i>extended upto 30.11.2019 vide GC No. 13/2019 dt. 29.10.2019</i> )	07.11.2019 (R08287039)	ROC	NA
15	22nd Annual General Meeting dt. 26.09.2019 - <i>Annual Return of the Company for FY2018-19</i> (Form – MGT-7)	26.09.2019	Sec. 92(4) & Rule 11 of Chapter VII	24.11.2019 ( <i>extended upto 31.12.2019 vide GC No. 13/2019 dt. 29.10.2019</i> )	Dec. 11, 2019 (R23504889)	ROC	NA
16	110th Board Meeting dt. 30.10.2019 - <i>Financial Statement for Q2 of FY2019-20; &amp; - Notice of Interest by New directors</i> (Form MGT-14)	30.10.2019	Section 117(3) read with Section 117(3)	28.11.2019	21.11.2019 (R14756027)	ROC	NA
17	110th Board Meeting dt. 30.10.2019 - <i>Cessation of Managing Director; &amp; - Appt. of 2 Directors</i> (Form DIR-12)	01.11.2019	Section 170(2) read with Rule 18 of Chapter 11	30.11.2019	21.11.2019 (R14759690)	ROC	NA
18	110th Board Meeting dt. 30.10.2019 - <i>Appt. of Managing Director</i> (Form MR-1)	01.11.2019	Section 117(3)(C), 170(2), read with Section 196(4)	30.12.2019	21.11.2019 (R14763197)	ROC	NA
19	111th Board Meeting dt. 24.01.2020 - <i>Financial Statement for Q3 of FY2019-20; &amp; - Notice of Interest by New directors</i> (Form MGT-14)	24.01.2020	Section 179(3) read with Section 117(3)	22.02.2020	21.11.2019 (R33576372)	ROC	NA
20	112th Board Meeting dt. 20.03.2020 - <i>Appointment of Sec. Auditors for FY2019-20</i> (Form MGT-14)	20.03.2020	Section 179(3) read with Section 117(3)	18.04.2020	15.04.2020 (R36999183)	ROC	NA

Note:

Filing of 'e-Form MSME-I' for HY1 and HY2 of FY2019-20 (for April – September, 2019 & October, 2019 – March, 2020) was not applicable as there were no outstanding dues for more than 45 days payable to entities registered under MSME, either during or as on September 30, 2019 and March 31, 2020 respectively

## B. DISCLOSURES

1	Closure of Register of Members/Debenture Holders/other security holders	Date of Closure of Register / Record Date	Purpose	Date of Public Notice	No. of Days for which Register of Members was closed
	Closure of Register of Members	14.09.2019	Dividend declaration	NA	11

2.	Declaration of Dividend	Date of Declaration	Percentage	Dividend per Share	Remarks
	Declared in 22 <sup>nd</sup> Annual General Meeting	26.09.2019	2%	₹0.20 (Twenty Paise per fully paid up equity share)	-

3.	Delisting of Shares / Securities, if any	Name of Stock Exchange from where it is delisted	Date of Delisting	Remarks
	NIL			

4.	Change in Nominal Value of Shares	Nominal Value per Share before change	Nominal Value per share after Change	Date of Change
	NIL			

5.	Particulars of inter- corporate loans, investments, etc.	Name of the body corporate	Aggregate amount of loans, investments during the year (for each body corporate)	Relation with such body corporate (whether it is holding, subsidiary or associate)	Remarks
	NIL				

6.	Contracts or arrangements in which directors are interested / related party transactions	Name of the director / related party	Date of disclosure of interest	Date of contract / arrangement	Brief particulars of the contract /arrangement
	As per Annexure -2				

7.	Details of resolutions passed by postal ballot	Brief Particulars of resolution	Number of votes cast For	Against
	NIL			

## XV. DETAILS IN RESPECT OF SHARES HELD BY OR ON BEHALF OF THE FILs

Name of Fil	Address	Country Of Incorporation	Registration No. with SEBI / RBI	% of share holding
NIL				

## XVI. OTHER DETAILS

### A. Corporate Social Responsibility

(a) Amount spent by the Company during the financial year in pursuance of its Corporate Social Responsibility policy

Amount sanctioned is ₹84.43 lakh, of which amount spent during the year is ₹1.96 lakh whereas amount spent as on the date of Directors' Report i.e., September 03, 2020 is ₹26.96 lakh.

(b) The amount spent as percentage of the average net profits of the Company made during the three immediately preceding financial years

Particulars	In ₹ Lakh
Total Profit for the past 3 years	12,359.22
Average Profit for the purpose of section 135 of Companies Act 2013	4,119.74
<b>Prescribed CSR Expenditure for the year 2019-20 i.e., 2 % of Average Net Profit</b>	<b>82.39</b>
Add: Balance carried forwarded from FY2017-18	1.37
Add: Balance carried forwarded from FY2018-19	0.66
<b>GROSS amount to be spent for the year 2018-19</b>	<b>84.43</b>

### B. Limits under following sections of the Act

Section	Brief Description	Limit (₹)
186(2) [Loan and Investment by Company]	NIL	NIL
180(1)(c) [Restriction on powers of Board]	Members at the 21 <sup>st</sup> Annual General Meeting held on September 18, 2018 approved increasing the borrowing powers of the Company to ₹1,700 crore from the existing ₹1,500 crore	₹1,700 crore

### C. Disclosure of Directors:

- ✓ All the Directors have furnished notices in the form specified and additional disclosures, wherever applicable, during the year;
- ✓ All independent directors have given declaration that they meet the criteria of independence as provided in sub-section(6) of section 149.

D. The Company, in pursuance of communication received from the Comptroller & Auditor General of India vide their letter no. CA.V / COY / CENTRAL GOVERNMENT, KAGDFC(1)/54 dated July 31, 2019 has duly appointed/re-appointed M/s. Phillipos & Co., Chartered Accountants, Bengaluru as the auditors of the Company at the Annual General Meeting held on September 26, 2019

**We certify that:**

- a) the return states the facts as they stood on the date of the closure of the financial year aforesaid correctly and adequately;
- b) the whole of the amount of unpaid / unclaimed dividend / other amounts as applicable, if any, have been transferred to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- c) The Company has maintained all the registers as per the provisions of the Act and the rules made thereunder;
- d) Unless otherwise anything in contrary is stated expressly elsewhere in this Return, the Company has complied with the applicable provisions of the Act during the financial year.

FOR AND ON BEHALF OF NABFINS LIMITED



**Jiji Mammen**  
**Managing Director**  
DIN: 06808988



**Savitri Hegde**  
**Company Secretary**  
ACS45935

BENGALURU

<b>DIN</b>	<b>03190289</b>
<b>Full Name</b>	<b>JUGAL KISHORE MOHAPATRA</b>
<b>Father's/ Mother's / Spouse's Name</b>	Prasanna Kumar Mohapatra
<b>Nationality</b>	Indian
<b>Date of Birth</b>	12.02.1956
<b>Designation</b>	Non-Executive Chairman & Independent Director
<b>Category</b>	Independent
<b>Occupation</b>	
<b>Email-id</b>	<a href="mailto:jugalmohapatra56@gmail.com">jugalmohapatra56@gmail.com</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	28.04.2016
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	Flat No. 101, 'Lova Villa', Plot No. 408, Saheed Nagar
<b>Town / City</b>	Bhubaneswar
<b>District</b>	Khordha
<b>State</b>	ODISHA
<b>Pin Code</b>	751007

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NHPC Limited	L40101HR1975GOI032564	Listed	Director	07.10.2017	-
Urban Mass Transit Company Limited	U34101DL1993PLC053032	Unlisted Public Company	Director	11.08.2017	-
Foundation for Development of Rural Value Chains	U93090DL2019NPL345774	Not for Profit (Section 8 Company)	Nominee Director	29.05.2019	-
NHDC Limited	U31200MP2000GOI014337	Govt. of India	Nominee Director	23.12.2019	-

<b>DIN</b>	<b>06694864</b>
<b>Full Name</b>	<b>PAMMI VENKATA SUBRAHMANYA SURYAKUMAR</b>
<b>Father's/ Mother's / Spouse's Name</b>	Venkata Peri Pammi Sastry
<b>Nationality</b>	Indian
<b>Date of Birth</b>	28.07.1963
<b>Designation</b>	Nominee Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service – Chief General Manager, NABARD
<b>Email-id</b>	<a href="mailto:pvss.kumar@nabard.org">pvss.kumar@nabard.org</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	10.10.2018
<b>Date of Ceasing</b>	22.05.2020
<b>Residential Address</b>	B-706, Raheja Park, Magadi Main Road
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 079

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>07558795</b>
<b>Full Name</b>	<b>LUTHFULLA KHAN ATHEEQ</b>
<b>Father's/ Mother's / Spouse's Name</b>	Kalimulla Khan
<b>Nationality</b>	Indian
<b>Date of Birth</b>	14.01.1965
<b>Designation</b>	Nominee Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service – Principal Secretary to Govt., Govt. of Karnataka
<b>Email-id</b>	lkatheeq@gmail.com
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	18.09.2018
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	No. 28, 3 <sup>rd</sup> Cross, RMV 2 <sup>nd</sup> Stage, Dollars Colony
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 094

**Details of Directorships in other companies and changes therein**

Name of the Company	CIN of the Company	Type of Company	Designation	Date of Appointment	Date of Cessation
KARNATAKA RURAL INFRASTRUCTURE DEVELOPMENT LIMITED	U75112KA1974SGC002613	State Govt. Company	Nominee Director	24.08.2018	-
KARNATAKA MINING ENVIRONMENT RESTORATION CORPORATION	U85100KA2014NPL075114	Section 8 company	Nominee Director	10.08.2018	-
BELAGAVI SMART CITY LIMITED	U74999KA2016PLC093099	Public Limited Company	Nominee Director	02.01.2020	-

<b>DIN</b>	<b>00075644</b>
<b>Full Name</b>	<b>SUBHASH CHANDER KALIA</b>
<b>Father's/ Mother's / Spouse's Name</b>	Dewan Chand Kalia
<b>Nationality</b>	Indian
<b>Date of Birth</b>	06.08.1951
<b>Designation</b>	Director
<b>Category</b>	Independent
<b>Occupation</b>	
<b>Email-id</b>	<a href="mailto:subhashkalia10@gmail.com">subhashkalia10@gmail.com</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	03.09.2016
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	4507, Tower 'D', Ashok Towers, Parel
<b>Town / City</b>	Mumbai
<b>District</b>	Mumbai
<b>State</b>	MAHARASHTRA
<b>Pin Code</b>	400012

**Details of Directorships in other companies and changes therein**

Name of the Company	CIN of the Company	Type of Company	Designation	Date of Appointment	Date of Cessation
Yes Bank Ltd.	L65190MH2003PLC143249	Listed	Director	03.04.2018	05.03.2020
BOI Axa Investment Managers Private Limited	U65900MH2007FTC173079		Director	28.04.2015	-
IFCI Venture Capital Funds Limited	U65993DL1988GOI030284	Govt. Company	Director	26.03.2018	-
INFIN Asset Reconstruction Private Limited	U67100MH2014PTC256892	Unlisted Public Company	Director	05.08.2014	Strike Off

<b>DIN</b>	<b>07619408</b>
<b>Full Name</b>	<b>CHARAN SINGH</b>
<b>Father's/ Mother's / Spouse's Name</b>	Amrik Singh
<b>Nationality</b>	Indian
<b>Date of Birth</b>	30.09.1960
<b>Designation</b>	Director
<b>Category</b>	Independent
<b>Occupation</b>	
<b>Email-id</b>	<a href="mailto:charansingh60@gmail.com">charansingh60@gmail.com</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	29.09.2016
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	4-S-1202, AWHO Gurjinder Vihar, Sec-Ch, Greater Noida, Near NR Park
<b>Town / City</b>	Noida
<b>District</b>	Noida
<b>State</b>	UTTAR PRADESH
<b>Pin Code</b>	201 310

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
AMAS TRAINING PRIVATE LIMITED	U80902UP2018PTC105904	Private Limited Company	Director	03/07/2018	-
FOUNDATION FOR ECONOMIC GROWTH ANDWELFARE	U73200UP2018NPL109953	Section 8 Company	Director	31/10/2018	-

<b>DIN</b>	<b>00970789</b>
<b>Full Name</b>	<b>MANOJ KUMAR SHARMA</b>
<b>Father's/ Mother's / Spouse's Name</b>	Mittar Sain Sharma
<b>Nationality</b>	Indian
<b>Date of Birth</b>	08.09.1965
<b>Designation</b>	Independent Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	
<b>Email-id</b>	<a href="mailto:manoj@microsave.net">manoj@microsave.net</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	25.02.2019
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	C-34, E Park, Mahanagar Extension
<b>Town / City</b>	Lucknow
<b>District</b>	Lucknow
<b>State</b>	UTTAR PRADESH
<b>Pin Code</b>	226 006

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
AMET FINANCE PRIVATE LIMITED	U65990TG2020PTC139430	Private Limited Company	Director	20.02.2020	-
MSC INDIA CONSULTING PRIVATE LIMITED	U74900UP2013FTC061499		Director	26.12.2013	-
MICRO SAVE PRIVATE LIMITED	U74992UP2007PTC033487	Private Limited Company	Director	15.06.2007	-



<b>DIN</b>	<b>08337919</b>				
<b>Full Name</b>	<b>SWAPAN KUMAR GIRI</b>				
<b>Father's/ Mother's / Spouse's Name</b>	Nilmani Giri				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	17.08.1952				
<b>Designation</b>	Independent Director				
<b>Category</b>	Non-Executive				
<b>Occupation</b>					
<b>Email-id</b>	<a href="mailto:eskaygiri@yahoo.com">eskaygiri@yahoo.com</a>				
<b>No. of Equity Shares held in the Company</b>	NIL				
<b>Date of Appointment</b>	25.02.2019				
<b>Date of Ceasing</b>	-				
<b>Residential Address</b>	Chitrakoot, 24 A, Sonali Park, Garia, Bansdrani, Near Sonali Park Club				
<b>Town / City</b>	Kolkata				
<b>District</b>	Kolkata				
<b>State</b>	WEST BENGAL				
<b>Pin Code</b>	700 084				
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>08558104</b>				
<b>Full Name</b>	<b>RAJASHREE BARUAH</b>				
<b>Father's/ Mother's / Spouse's Name</b>	Siba Chandra Kakati				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	15.11.1960				
<b>Designation</b>	Nominee Director				
<b>Category</b>	Non-Executive				
<b>Occupation</b>	Service – Chief General Manager, NABARD				
<b>Email-id</b>	rk.barua@nabard.org				
<b>No. of Equity Shares held in the Company</b>	NIL				
<b>Date of Appointment</b>	26.09.2019				
<b>Date of Ceasing</b>	-				
<b>Residential Address</b>	B-22, Krishi Vikas Sadan, SVS Marg, Prabha Devi, Dadar (West)				
<b>Town / City</b>	Mumbai				
<b>District</b>	Mumbai				
<b>State</b>	MAHARASHTRA				
<b>Pin Code</b>					
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>08564600</b>
<b>Full Name</b>	<b>VIJAYA KUMAR DEVEGOWDA</b>
<b>Father's/ Mother's / Spouse's Name</b>	Deve Gowda Javare Gowda
<b>Nationality</b>	Indian
<b>Date of Birth</b>	30.09.1960
<b>Designation</b>	Nominee Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service –General Manager, Canara Bank
<b>Email-id</b>	vijayakumard@canarabank.com
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	26.09.2019
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	H. No.464 9th Cross First Block Jayanagar Bengaluru South
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 011

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>08263305</b>
<b>Full Name</b>	<b>BADATALA SREENIVASA RAO</b>
<b>Father's/ Mother's / Spouse's Name</b>	Venkatasubbaiah Badatala
<b>Nationality</b>	Indian
<b>Date of Birth</b>	15.02.1963
<b>Designation</b>	Nominee Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service – General Manager, Union Bank of India
<b>Email-id</b>	<a href="mailto:sreenivasa.badatala@unionbankofindia.com">sreenivasa.badatala@unionbankofindia.com</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	26.09.2019
<b>Date of Ceasing</b>	-
<b>Residential Address</b>	Flat No. 610, 6th Floor, Shashikiran Apartment, 18th Cross, Malleshwaram,
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 055

**Details of Directorships in other companies and changes therein**

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
Union Trustee Company Private Limited	U65923MH2009PTC198198	Private Limited Company	Nominee Director	17.12.2018	24.07.2019
Star Union Dai-Ichi Life Insurance Company Limited	U66010MH2007PLC174472	Public Limited Company	Additional Director	26.10.2018	19.07.2019

<b>DIN</b>	<b>08595415</b>				
<b>Full Name</b>	<b>ARUN KUMAR SHUKLA</b>				
<b>Father's/ Mother's / Spouse's Name</b>	Hari Shankar Shukla				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	05.01.1961				
<b>Designation</b>	Nominee Director				
<b>Category</b>	Non-Executive				
<b>Occupation</b>	Service – Chief General Manager, NABARD				
<b>Email-id</b>	<a href="mailto:ak.shukla@nabard.org">ak.shukla@nabard.org</a>				
<b>No. of Equity Shares held in the Company</b>	NIL				
<b>Date of Appointment</b>	26.09.2019				
<b>Date of Ceasing</b>	-				
<b>Residential Address</b>	Building No A-6 Flat No 02 NABARD Park, Khira Nagar S V Road Santacruz (West)				
<b>Town / City</b>	Mumbai				
<b>District</b>	Mumbai				
<b>State</b>	MAHARASHTRA				
<b>Pin Code</b>	400 054				
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>03622371</b>				
<b>Full Name</b>	<b>GOVINDA RAJULU CHINTALA</b>				
<b>Father's/ Mother's / Spouse's Name</b>	Butchaiah Choudary				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	15.07.1962				
<b>Designation</b>	Nominee Director representing NABARD				
<b>Category</b>	Executive Director – on deputation to NABFINS				
<b>Occupation</b>	Service in NABARD				
<b>Email-id</b>	<a href="mailto:grchintala@gmail.com">grchintala@gmail.com</a>				
<b>No. of Equity Shares held in the Company</b>	01 (one only)				
<b>Date of Appointment</b>	01.11.2019				
<b>Date of Ceasing</b>	-				
<b>Residential Address</b>	NABARD Officers' Qtrs., Raheja Park, Magadi Road,				
<b>Town / City</b>	Bengaluru				
<b>District</b>	Bengaluru Urban				
<b>State</b>	KARNATAKA				
<b>Pin Code</b>	560079				
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company</b>	<b>Designation</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
Agriculture Insurance Company of India Limited	U74999DL2002PLC118123	Unlisted Public Company	Nominee Director	14.11.2018	28.11.2019

<b>DIN</b>	<b>07133431</b>
<b>Full Name</b>	<b>MALLIKARJUN ISWARAPPA GANAGI</b>
<b>Father's/ Mother's / Spouse's Name</b>	Iswarappa Ganagi
<b>Nationality</b>	Indian
<b>Date of Birth</b>	20.05.1959
<b>Designation</b>	Nominee Director representing NABARD
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service in NABARD
<b>Email-id</b>	<a href="mailto:mi.ganagi@nabard.org">mi.ganagi@nabard.org</a>
<b>No. of Equity Shares held in the Company</b>	01 (one only)
<b>Date of Appointment</b>	12.06.2015
<b>Date of Ceasing</b>	31.05.2019
<b>Residential Address</b>	NABARD Head Office, C-24, 'G' Block, BKC, Bandra (East)
<b>Town / City</b>	Mumbai
<b>District</b>	Mumbai
<b>State</b>	MAHARASHTRA
<b>Pin Code</b>	400051

Details of Directorships in other companies and changes therein

Name of the Company	CIN of the Company	Type of Company	Designation	Date of Appointment	Date of Cessation
NIL					

<b>DIN</b>	<b>08204746</b>
<b>Full Name</b>	<b>SHIV NARAIN KAUSHIK</b>
<b>Father's/ Mother's / Spouse's Name</b>	Jagdish Chander Kaushik
<b>Nationality</b>	Indian
<b>Date of Birth</b>	30.12.1962
<b>Designation</b>	Nominee Director
<b>Category</b>	Non-Executive
<b>Occupation</b>	Service – General Manager, Union Bank of India
<b>Email-id</b>	<a href="mailto:fgm.bengaluru@unionbankofindia.com">fgm.bengaluru@unionbankofindia.com</a>
<b>No. of Equity Shares held in the Company</b>	NIL
<b>Date of Appointment</b>	18.09.2018
<b>Date of Ceasing</b>	31.07.2019
<b>Residential Address</b>	Flat No. 610, 6th Floor, Sashikiran Apartment, 18th Cross, Malleshwaram
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 055

Details of Directorships in other companies and changes therein

Name of the Company	CIN of the Company	Type of Company	Designation	Date of Appointment	Date of Cessation
NIL					

<b>DIN</b>	<b>08212957</b>				
<b>Full Name</b>	<b>KANDASAMY GOUNDER PALANIVEL</b>				
<b>Father's/ Mother's / Spouse's Name</b>	G Kandasamy				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	20.07.1959				
<b>Designation</b>	Nominee Director				
<b>Category</b>	Non-Executive				
<b>Occupation</b>	Service – General Manager, Canara Bank				
<b>Email-id</b>	palaniveluk@canarabank.com				
<b>No. of Equity Shares held in the Company</b>	NIL				
<b>Date of Appointment</b>	18.09.2018				
<b>Date of Ceasing</b>	31.07.2019				
<b>Residential Address</b>	A 4 -613, Godavari Block, National Games Village, Koramangala				
<b>Town / City</b>	Bengaluru				
<b>District</b>	Bengaluru				
<b>State</b>	KARNATAKA				
<b>Pin Code</b>	560 047				
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company*</b>	<b>Designation **</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	<b>01866297</b>				
<b>Full Name</b>	<b>MEERA SAKSENA</b>				
<b>Father's/ Mother's / Spouse's Name</b>	Ilangi Daivanga Perumal Chidambaram				
<b>Nationality</b>	Indian				
<b>Date of Birth</b>	20.09.1951				
<b>Designation</b>	Independent Director				
<b>Category</b>	Non-Executive				
<b>Occupation</b>					
<b>Email-id</b>	<a href="mailto:saksmira@yahoo.com">saksmira@yahoo.com</a>				
<b>No. of Equity Shares held in the Company</b>	NIL				
<b>Date of Appointment</b>	16.03.2015				
<b>Date of Ceasing</b>	01.10.2019				
<b>Residential Address</b>	21, Jakkur Plantation, Jakkur, Allalasanra, Bellary Road, Opp. Jakkur Aerodrome				
<b>Town / City</b>	Bengaluru				
<b>District</b>	Bengaluru				
<b>State</b>	KARNATAKA				
<b>Pin Code</b>	560 064				
<b>Details of Directorships in other companies and changes therein</b>					
<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company*</b>	<b>Designation **</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

<b>DIN</b>	06833844
<b>Full Name</b>	<b>SUBRATA GUPTA</b>
<b>Father's/ Mother's / Spouse's Name</b>	Binoy Kumar Gupta
<b>Nationality</b>	Indian
<b>Date of Birth</b>	10.10.1959
<b>Designation</b>	Managing Director
<b>Category</b>	Executive Director
<b>Occupation</b>	Service in NABARD – on deputation to NABFINS
<b>Email-id</b>	<a href="mailto:md@nabfins.org">md@nabfins.org</a>
<b>No. of Equity Shares held in the Company</b>	1 (one only)
<b>Date of Appointment</b>	12.06.2015 [Appointed as MD w.e.f. 01.12.2017]
<b>Date of Ceasing</b>	01.11.2019
<b>Residential Address</b>	1504, 15 <sup>th</sup> Floor, Nagarjuna Premier Apartment, J P Nagar 6 <sup>th</sup> Phase, Outer Ring Road
<b>Town / City</b>	Bengaluru
<b>District</b>	Bengaluru Urban
<b>State</b>	KARNATAKA
<b>Pin Code</b>	560 078

Details of Directorships in other companies and changes therein

<b>Name of the Company</b>	<b>CIN of the Company</b>	<b>Type of Company*</b>	<b>Designation **</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>
NIL					

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Ref: Table 6 of sub-point(B) of Point XIV

## Details of material contracts or arrangement or transactions with interested / related parties during FY2018-19

Name(s) of the related party	Nature of relationship	Nature of contracts / arrangements / transactions	Duration of the contracts / arrangements / transactions	Salient terms of the contracts or arrangements or transactions including the value, if any ( in ₹ Lakh)	Date(s) of approval by the Board, if any:	Amount paid as advances, if any:
NABARD	Holding Entity	Loan Received	3 Years *	53,708.00	NA	Nil
NABARD	Holding Entity	Loan Repaid		39,398.00	NA	Nil
NABARD	Holding Entity	Interest Paid		9,879.15	NA	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o Subrata Gupta, MD&KMP	Upto Oct. 31, 2019	39.67	30.11.2017	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o G R Chintala, MD&KMP	-	22.41	30.10.2019	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o Vinod C, COO & GM	Not Applicable	41.64	22.07.2017	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o K S S Prasad, CFO & DGM	Not Applicable	37.60	08.06.2018	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o Manoj Chalach DGM	Not Applicable	29.11	22.07.2017	Nil
NABARD	Holding Entity	Reimbursement of Salary i.r.o K Radhakrishnan, DGM	Not Applicable	32.77	22.07.2017	Nil
Union Bank of India	Shareholder & Banker	Loan Repaid (incl. Interest)	Not Applicable	263.44	25.09.2015	Nil
Jugal Kishore Mohapatra	Non-Exe. Chairman & Ind. Director	Director Sitting Fee	Not Applicable	3.15	28.04.2016	Nil
Subrata Gupta #	Managing Director & KMP	Residential Rent	Upto Oct. 31, 2019	3.12	30.11.2017	Nil
G R Chintala #	Managing Director & KMP	Medical Expenses	Not Applicable	2.58	30.10.2019	Nil
Meera Saksena \$	Independent Director	Director Sitting Fee	Upto COB – Sept. 30, 2019	2.20	16.03.2015	Nil
Subhash C Kalia	Independent Director	Sitting Fees	Not Applicable	3.00	03.09.2016	Nil
Dr. Charan Singh	Independent Director	Sitting Fees & Reimbursement of eligible expenses	Not Applicable	2.86	29.09.2016	Nil
Manoj Kumar Sharma	Independent Director	Sitting Fees	Not Applicable	0.95	25.02.2019	Nil
S K Giri	Independent Director	Sitting Fees & Reimbursement of eligible expenses	Not Applicable	2.12	25.02.2019	Nil

Savitri Hegde	Company Secretary (KMP)	Remuneration	Not Applicable	10.00	29.09.2016	Nil
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\* The Company has refinance arrangement with NABARD and the refinance is repayable in three years by way of half yearly installments with interest;

# Shri Subrata Gupta held the position as MD& KMP upto October 31, 2019 and consequent upon his superannuation, Shri G R Chintala took over charge as MD & KMP from November 01, 2019

\$ Smt. Meera Saksena completed her tenure as Independent Director on the Board of the Company on the Close Of Business (COB) on September 30, 2019

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